NCTN and NCORP Funding Information For Sites

Andrea M. Denicoff, RN, MS, ANP Head, NCTN Clinical Trials Operations Cancer Therapy Evaluation Program National Cancer Institute







A program of the National Cancer Institute of the National Institutes of Health

Agenda

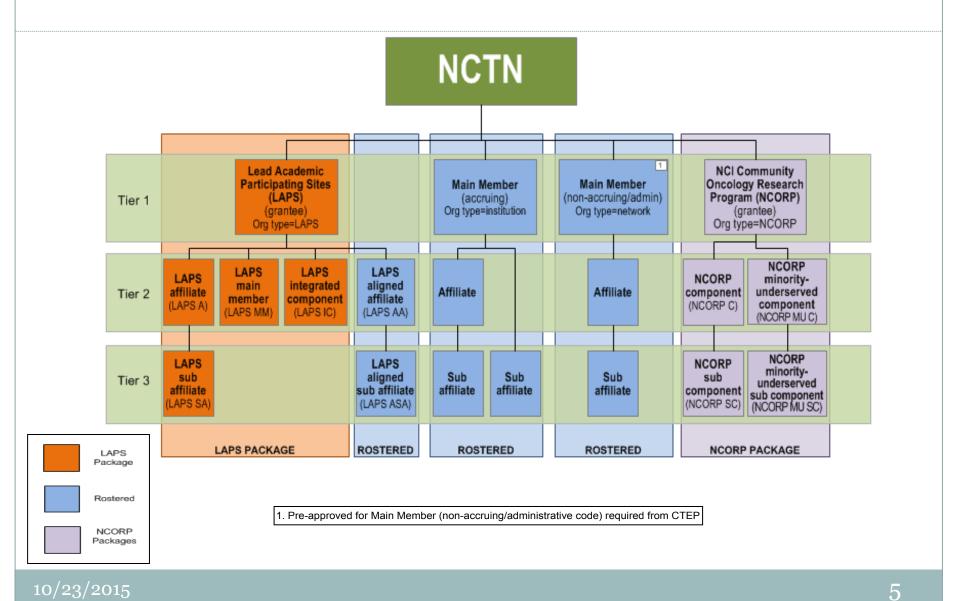
- > OVERVIEW & HOW SITES RECEIVE PER CASE FUNDING
- > ACCESSING THE OPEN FUNDING SCREENS & WHERE TO FIND FUNDING INFORMATION
- > NETWORK GROUPS DISTRIBUTION OF FUNDS
- > UPDATES FROM THE CTSU TO HELP SITES WITH NCI TRIALS



Funding in the NCTN

- NCI per case management funds are provided to all sites enrolling patients onto NCTN trials via one of 3 NCI funding mechanisms:
 - 1. NCTN Group Grants
 - 2. NCTN Lead Academic Participating Site Grant (LAPS)
 - 3. DCP NCORP Grant
- Non-NCI funding obtained by the Network Groups to supplement trial support is dispersed to all of the 3 categories of sites by the **Lead Protocol Organization** (**LPO**). All non-NCI funding is available to any site that meets the specific requirement of the study and is tracked by the Lead Group; therefore, no CTSU or OPEN steps are needed to trigger these funds (unless specified otherwise).

NCTN Organization Chart



How Sites Receive Per Case Funding

How Sites Receive Per Case Funding

- The NCTN trials will follow NCI's CTEP per case management funding principles for cancer treatment and advanced imaging trials.
- NCI's DCP NCORP Research Base grant provides funding for quality of life endpoints, cancer control and cancer prevention studies to non-NCORP sites.
- Funding for trial activities will fall under one of the following categories:
 - Screening for Intervention
 - Basic Intervention
 - Advanced Imaging
 - Biospecimen Collection
 - Special (complex or rare disease trials)
 - Quality of Life (NCI DCP's NCORP grant covers this funding)
 - Non-NCI/DCTD Funding (e.g., Industry)

How Sites Receive Funding (con't)

- NCI per case management funding will be made by the Network Group credited with the accrual <u>or</u> the equivalent will be provided via the NCTN LAPS grant <u>or</u> NCORP Community Site grant directly.
- Non-NCI/DCTD funding will be distributed by the Lead Network Group to the Group credited with the enrollment for distribution to the sites. This funding does not_require completion dates to be recorded in OPEN unless otherwise specified.

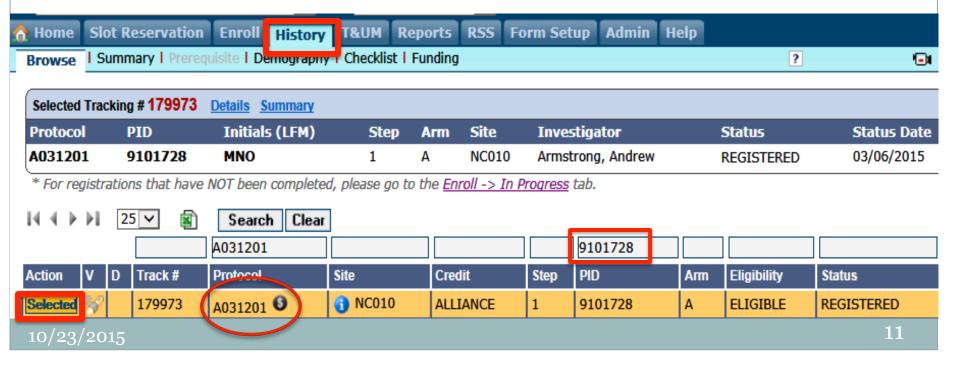
NCTN Funding Collection Types

- Mandatory Funding this funding is provided to all sites participating on a study. Patient enrollment itself serves as the trigger for this funding type. *No additional data entry in OPEN is required*.
- Mandatory Request and Mandatory Event for trials that specify that sites are required to ask a patient to participate in an optional study or trials that require a specific data or biospecimen collection at a specific event, such as recurrence, the Site is required to enter completion dates in the OPEN funding screen at the time of patient completion or the date a specimen was sent.
- Conditional Funding Types this funding is provided to sites once a certain condition or parameter is met (e.g., completed a mandatory biospecimen collection). The site user is required to enter completion dates in the OPEN funding screen at the time of patient completion or the date a specimen was sent.
- Optional funding types this funding is provided if sites complete an optional component of the study. The site user is required to enter completion dates in the OPEN funding screen at the time of patient completion or the date a biospecimen was sent.

Accessing the OPEN Funding Screen

Accessing the OPEN Funding Screen

• To enter data needed to trigger funding for a trial, click the history tab & search for the Patient ID (PID) associated with the enrollment. Enrollments with funding requiring completion dates in OPEN will have a '\$' icon next to the protocol number. Click on 'select' next to the patient enrollment with the required PID. The summary screen will be displayed.



Accessing the OPEN Funding Screen (cont.)

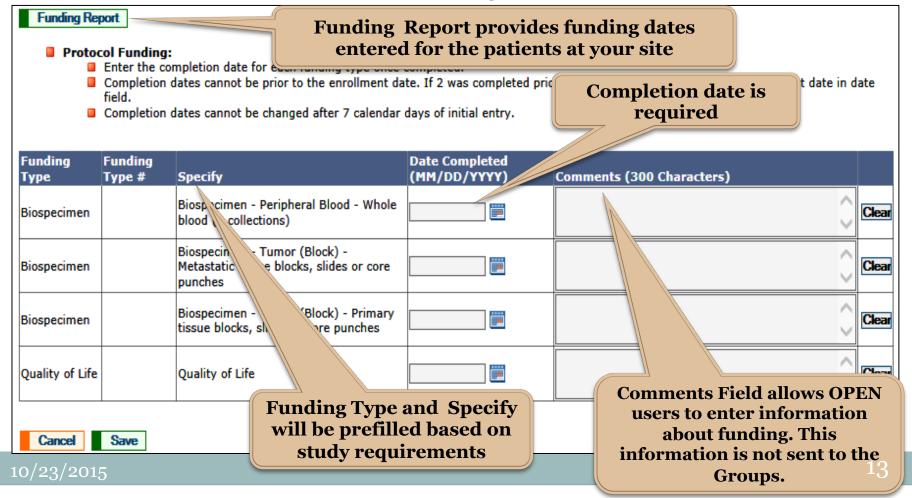
• Click on the Funding sub tab at the top of the screen.



• The enrollment data will be displayed at the top of the screen and a funding table will be populated with each of the funding types available.

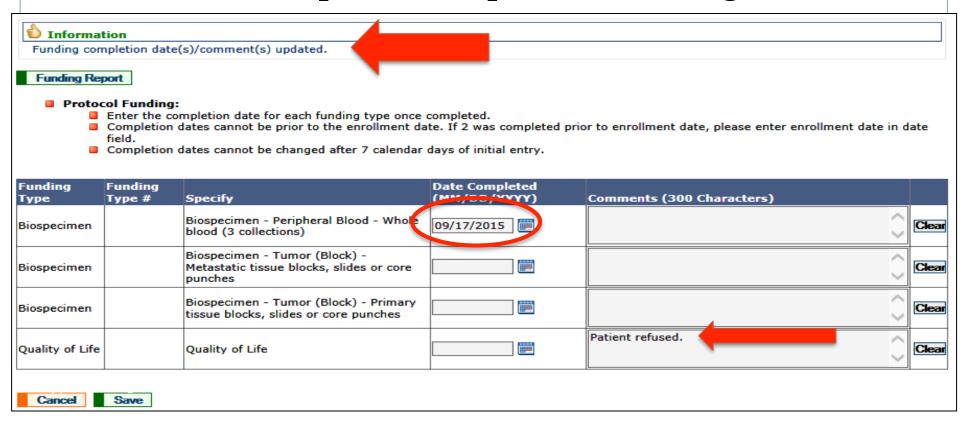
OPEN Funding Screen

• Enter the date the test was completed or biospecimen was obtained by clicking on the textbox to enter the date in MM/DD/YYYY format or click on the calendar icon to select a date. Click on 'save' after entering the dates.



OPEN Funding Confirmation

- A confirmation screen will be displayed. Completion dates cannot be changed after 7 days of initial entry.
- Timely entry of dates in OPEN is recommended as this will record completion for per case funding.



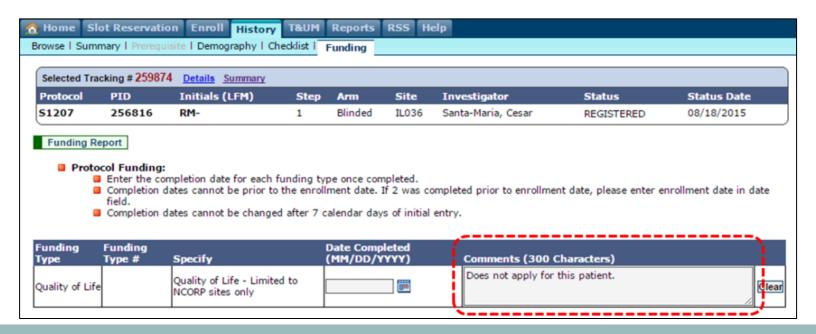
Tips for Entering Funding Data

- To receive per case funding for specific tests and/or biospecimen submissions, completion dates must be entered in the OPEN 'funding screen' on or post enrollment by <u>all</u> <u>sites</u>.
- Completion dates for biospecimens should be the date the specimen was obtained.
- Completion dates for QOLs or any testing that is required at multiple time points are only required to be entered one time and can be the initial completion date.
- Some QOL funding may have an accrual cap; therefore, completion dates should be entered after the initial QOL is completed.
- Multiple dates may be entered at one time or users may return to the funding screen later to enter additional dates.

10/23/2015

Update to the Funding Screen

A Comments column was added to the funding screen, so that notes can be added (for internal use only) regarding each per-case funding entry. Comments are <u>not</u> sent to the LPOs.



Where to Find Funding Information

Where to Find NCTN Trial Funding Information

- NCTN and NCORP protocols listed on the CTSU website will have a funding subfolder with a funding table and link to a funding form.
- Each protocol with mandatory request, mandatory event, conditional or optional funding (except Non-NCI/DCTD Funding unless otherwise specified) will use the funding screen in OPEN to collect completion dates.
- Funding forms will contain additional information about non-NCI funding sources if available.

Funding Subfolder – CTSU Website

Home Ft

Funding Information

LPO Documents

Drug Safety Notification

Study Agent

CIRB Documents

A031201

Add to My Protocols

A Phase III Trial of Enzalutamide (NSC#766085) Versus Enzalutamide, Abiraterone and Prednisone for Castration Resistant Metastatic Prostate Cancer

Instructions

- NCI per case management funding will be made by the Network Group credited with the accrual or the equivalent will be provided via NCTN LAPS grant or NCORP grant directly.
- To receive per case funding for specific tests and/or biospecimen submissions, completion dates must be entered in the OPEN 'funding module' post enrollment.
- Completion dates for QOLs or any testing that is required at multiple time points are only required to be entered
 one time and can be the initial completion date.
- Completion dates may be entered in the OPEN funding screen for any trial component that was completed after March 1st, regardless of when the patient was enrolled to the trial.
- · See protocol funding sheet for more details and information about non-NCI funding.
- · Click NCTN Funding Instructions for more information.

NCI Funding Information (other sources of funding may be available, please review the Funding Documents)

	NCI Funding Sources								
#	Funding Source	Funding Type	Funding Type #	Specify	Collect Type	NCTN \$ Value	NCORP \$ Value	Funding Status	Collect in OPEN
1	DCTD-DCP	Base Intervention			Mandatory	\$2,250.00	\$2,500.00	ACTIVE	No
2	DCTD-DCP	High Performance Intervention		LAPS or HP NCORP	Mandatory	\$4,000.00	\$4,000.00	ACTIVE	No
3	DCTD	Advanced Imaging		Advanced Imaging - Other - A031201-IM1	Conditional	\$250.00	\$250.00	ACTIVE	Yes
4	DCTD-DCP	Biospecimen	1	Biospecimen - Other - ST1, PP1, PP2	Optional	\$400.00	\$400.00	ACTIVE	Yes

1	Funding	Documents
	i ununing	Documents

#	Doc	ument Title		ument Forma Pate	at Post Date
Fu	unding				19
1	A031201 Funding Sheet □		01/01/	15 PDF	02/18/15

NCTN & NCORP Funding Sheets

PROTOCOL xxxxxxx

Protocol Title

Identifies when dates need entering in OPEN to trigger funding

Study Activation: xx/xx/xxxx

Funding Source and Study Component		Mandatory/ Mandatory Request or Event/ Optional	Study Specific Notes	Enter Date in OPEN?	NCTN Funding Amount per Patient (a) Standard/ LAPS	NCORP Funding Amount per Patient (b) Std/HP
Federal	Base Intervention (Standard/ High Performance (HP) LAPS & NCORP)	Mandatory		No	\$2250 / \$4000	\$2500/ \$4000
Federal						
Federal						
Total Potential Federal Funds (c)					\$ /\$	\$ /\$
Non-Federal				No		
Total Potential Non-Federal Funds (d)					\$	\$
Total Potential Funds					\$/\$	\$/\$

Key Points for NCORP sites

- Need to review 2 documents when assessing funding:
 - NCI Funding Sheets provide all sources of applicable funding (Federal, Non-Federal and Other Federal) but no credit information
 - Study Specific Notes at bottom of document (important to review)
 - NCORP Credit Report provides credit and funding information only for trial components paid for by Federal funding sources for which credits are applied
 - Review credits & funding/dollar amounts provided
- Only trial components that are funded by a Federal source are assigned credits
- Trial components funded by Non-Federal and Other Federal (e.g., BIQSFP, NCI contracts, etc.) funds will be paid directly to NCORP Site by the Lead NCTN Group or the NCTN Group credited by the enrolling site

PROTOCOL A011106

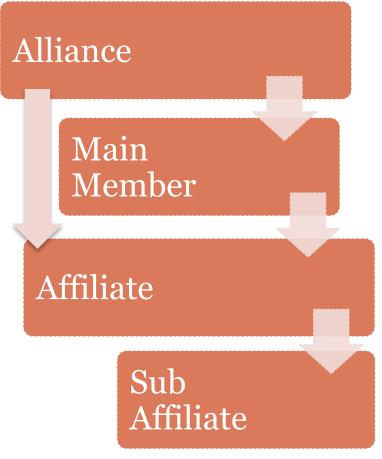
ALTernate approaches for clinical stage II or III Estrogen Receptor positive breast cancer NeoAdjuvant TrEatment (ALTERNATE) in postmenoplausal women: a phase III study

Study Activation: 12/13/2013

Funding Source and Study Component		Mandatory/ Mandatory Request or Event/ Optional	Study Specific Notes	Enter Date in OPEN?	NCTN Funding Amount per Patient (a) Standard/ LAPS	NCORP Funding Amount per Patient (b) Std/HP	
Fed	leral	Performance (HP) LAPS & NCORP)	Mandatory		No	\$2250 / \$4000	\$2500 / \$4000
Fed	ieral	Biospecimen – Tissue – Pre- treatment core biopsy-(BIQSFP funds paid to all sites including LAPS & NCORP)	Mandatory Event	1	Yes	\$ 1,100	\$ 1,100
Fed	leral	Biospecimen – Tissue – Week 4 core biopsy - (BIQSFP funds paid to all sites including LAPS & NCORP)	Mandatory Event	1	Yes	\$ 1,100	\$ 1,100
Fed	ieral	Biospecimen – Tissue - At time of surgery two formalin core biopsies, two frozen core biopsies, and 10 fixed tumor tissue slides	Mandatory Event	2	Yes	\$ 450	\$ 450
Fed	leral	Biospecimen – Tissue at disease progression	Optional	3	Yes	\$ 150	\$ 150
Fed	leral	Biospecimen – Blood - Serum, plasma and whole blood	Optional	4	Yes	\$ 150	\$ 150
Tot	Total Potential Educai runus					\$5200/ \$6950	\$5450 / \$6950
Nor	n-Federal	Alliance Foundation funds – Week 12 Core biopsy	Optional	5	No	\$ 557	\$ 557
Nor	n-Feaeral	Alliance Foundation funds- Neoadjuvant Chemotherapy Group Who receive pacificaxer- Day 2 of Cycle 1 core biopsy	Optional	5	No	\$557	\$557

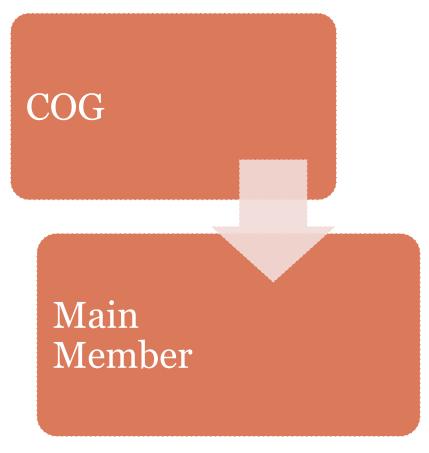
Network Groups Distribution of Funds

Alliance



- Alliance distributes payments for accrual on a biannual basis.
 - January-June accruals paid in July.
 - o July-December accruals paid in January.
- Payment is made to main members and affiliates depending on their financial relationship.
 - Main members will receive the payments for the affiliates that share a direct financial relationship.
 - Affiliates that do not have a financial relationship with its main member will receive their payments directly.
- Non-NCI funding is paid on a quarterly basis.
- Federal Payments Contact –
 Amanda Welch, Financial Manager
 617-525-3026
 awelch6@partners.org or AlliancePayments@partners.org
- Non-Federal Payments Contact –
 Sylvia Hrbek, Accounting Manager
 773-702-9904 or shrbek@alliancenctn.org

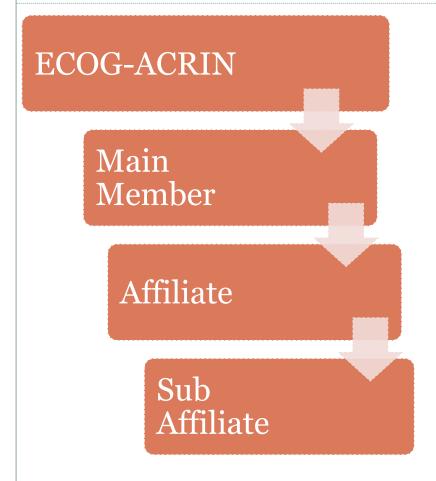
COG



- COG distributes payments for accrual on a *quarterly basis*. Schedule for payments, is June, September, December, March. Payment is made to COG main members.
- Information on the distribution of non-NCI funding is paid on a *quarterly basis*. Schedule for payments, is February, May, August, November. Payment is made to COG main members.
- Contact Information:
 - o For *Payment* questions:
 - <u>torrensn@email.chop.edu</u> &
 - <u>COG invoices@email.chop.edu</u>
 - o For *PCR detail*:
 - coylek@email.chop.edu

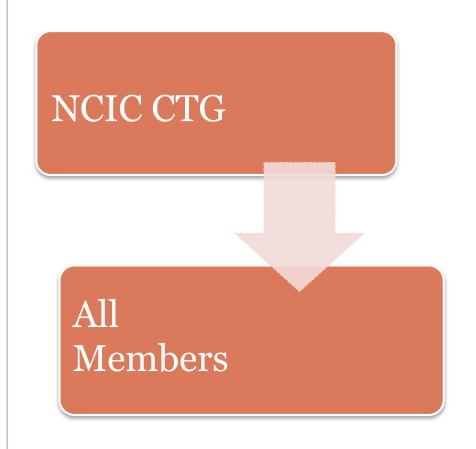
10/23/2015

ECOG-ACRIN



- ECOG-ACRIN's goal is to distribute payments for accrual on a *monthly basis*, commencing July 2014.
- Payment is made directly to each site, unless the site has specified an alternate payee on their Purchase Services Agreement.
- Non-NCI funding is based on milestones, with a goal of distribution monthly, commencing July 2014.
- Questions regarding the case reimbursements process can be directed to <u>reimbursements@ecog-acrin.org</u>

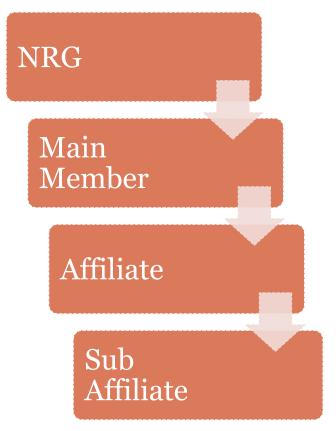
NCIC CTG



- NCIC CTG distributes payments for accrual once every 2 months. The schedule for payments are: Jan 31, Mar 31, May 31, July 31, Sept 30, Nov 30.
- Payment is made to member sites directly.
- NCIC CTG has a database application which tracks all required funding information and distributes payments.

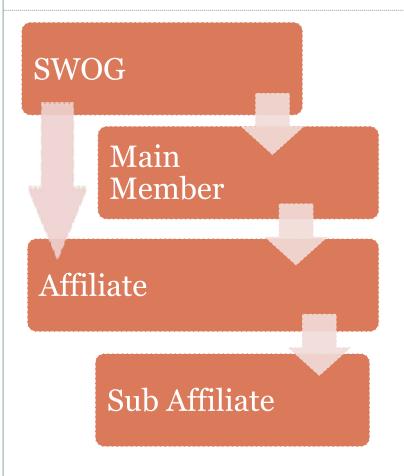
10/23/2015

NRG



- NCI funds for per-patient payments on studies credited to NRG Oncology are distributed on a monthly basis.
- Payment is made to Main Members and LAPS
 Aligned Affiliates based upon an active Purchased
 Service Agreement on file with NRG Oncology.
 - ➤ Main Members are to make payments within their networks according to agreements with their Affiliates and Sub Affiliates.
 - ➤ LAPS are to make payments to their Aligned Affiliates according to their agreements.
- Non-NCI funding to Main Members, LAPS, and NCORPs is distributed on a *monthly* basis. Specific payment information is available on the payment funding sheets located on the CTSU website.
- Information for sites can be found at Payments@nrgoncology.org

SWOG



- SWOG Group Chair's Office distributes payments for accrual to non-LAPS members & affiliates on a monthly basis.
- Payment is made to SWOG main members directly, and affiliates where appropriate, as determined by SWOG network roster.
- Patricia Mize, MBA
 Accounting Specialist
 mizep@ohsu.edu

10/23/2015

UPCOMING FEATURES

What New Tools Are Being Implemented To Help Site Efficiency?

10/23/2015

National Coverage Analysis

- CTSU is working with the Network Groups and Research Bases to provide National Coverage Analysis (CA) support for NCI supported trials.
- A working group is in place to:
 - Define the roles and responsibilities for developing CA documents,
 - Establish a process for creating the CA for NCTN and NCORP protocols,
 - Pilot CA documents for specified protocols on the CTSU website.
- A coverage analysis for the MATCH trial will be available on the CTSU website in November.

Webinars

- This initiative started in April 2015 with the "Access to NCTN AYA Trials" Webinar.
- Goal of the webinars is to address key concerns that affect accrual to NCI-supported trials, and raise awareness of trials among NCTN sites.
- Upcoming Webinars:
 - EAY131 MATCH webinar Early Fall 2015
 - Lung-MAP/ALCHEMIST webinar October 27th, 2015
 - "Sister" Breast Studies (NSABP B-51/RTOG-1304, A011202, EA1131) – December 2015

10/23/2015

Webinars (cont.)

Upcoming webinars will be announced via:

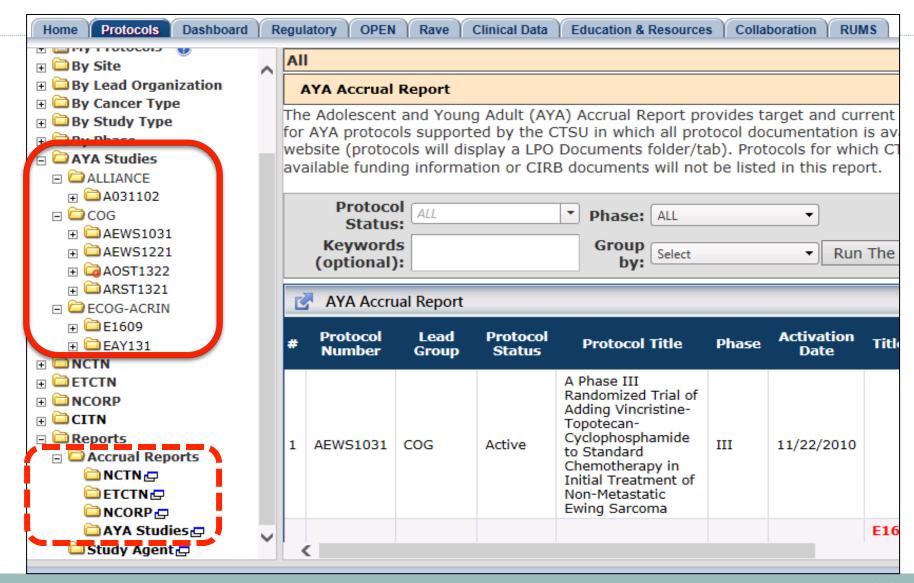
 The CTSU website under the "CTSU News" Box or "Announcements" section

- The Bi-Monthly Broadcast
- CTSU Newsletter



- An archive of the webinars will be maintained:
 - In the E&R tab of the website under "Educational Presentations and Webinars"

Changes to the Protocol Tree



CTSU Help Desk

1-888- 823- 5923 CTSUCONTACT@WESTAT.COM

10/23/2015 35